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Docket No.: 102

March 28, 2002

Office of Missing Parts  
Commissioner For Patents  
Washington, D.C. 20231

Re: Applicant(s): Carranza, Jose R.; et. al.  
Assignee: Cardica, Inc.  
Title: System for Preparing a Graft Vessel for Anastomosis  
Serial No.: 10/055,179 ✓  
Examiner: Not yet assigned  
Docket No.: 102  
Filed: January 23, 2002  
Group Art Unit: 3739

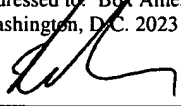
Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Copy of Notice to File Missing Parts of Nonprovisional Application;
- (3) Declaration for Patent Application and Power of Attorney;
- (4) Assignment;
- (5) Cover sheet for assignment;
- (6) Check no. 5394 in the amount of \$817.00; and
- (7) Return postcard.

The check in the amount of \$817.00 includes \$777.00 in accordance with the attached Copy of Notice to File Missing Parts of Nonprovisional Application, and \$40.00 for recordation of the enclosed Assignment.

Please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Box Amendment, Commissioner For Patents, Washington, D.C. 20231, on March 28, 2002.	
	<u>March 28, 2002</u>
Attorney for Applicant(s)	Date of Signature
<b>EXPRESS MAIL NO. EV060921854US</b>	

Respectfully submitted,



Brian A. Schar  
Reg. No. 45,076  
Chief Patent Counsel  
Cardica, Inc.  
171 Jefferson Drive  
Menlo Park, CA 94025

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MAR 28 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,179	01/23/2002	Jose R. Carranza	102

CONFIRMATION NO. 8443

## FORMALITIES LETTER



\*OC000000007675789\*

Cardica, Inc.  
171 Jefferson Drive  
Menlo Park, CA 94025

Date Mailed: 03/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

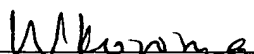
FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$342.
  - \$216 for 24 total claims over 20.
  - \$126 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 777.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/01/2002 CCHAU1 00000042 10055179  
01 FC:201 370.00 OP  
02 FC:203 216.00 OP  
03 FC:202 126.00 OP  
04 FC:205 65.00 OP